E						(2/26	Rece						
Unger the Paperwork F				Approved.S. Patent and Trademark espond to a collection of information of the collection of the collec	Office: U.S.	ugh 10/31/2002. OI DEPARTMENT OF	COMMERCE						
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application Number		10/084,587								
			Filing	Date	February 25, 2002								
			First N	lamed Inv ntor	Gavin, Edward J.								
			Group	Art Unit	2857								
				ner Name									
Total Number of Pages	Total Number of Pages in This Submission			ey Docket Number	016866-008200US								
ENCLOSURES (check all that apply)													
Fee Transmittal F			ssignment Papers for an Application)			After Allowance Communication to Group							
Fee Attached		☐ Drawing(s)			Appeal Communication to Board of Appeals and Interferences								
Amendment / Rep	Licensing-related Papers				I Communication to Notice, Brief, Reply								
After Final		Petition	1		l —	etary Information	,						
			to Conv onal App		Status	Letter	•						
				ey, Revocation espondence Address		Enclosure(s) identify below):							
Express Abandon	☐ Terminal Disclaimer ☐ Request for Refund			Receipt; re Filing Rece	equest for Correct ed-marked copy of eipt; copies of doo Request for Corre	f corrected cuments filed							
Information Disclosure Statement		CD, Number of CD(s)				eturn Postcard	cted Filling						
Certified Copy of Priority Document(s)		The Commissioner is a Deposit Account 20-14			charge any addit	ional fees to							
Response to Missing Parts/ Incomplete Application													
Response to under 37 CFF	Missing Parts R 1.52 or 1.53												
	SIGNA	TURE OF A	APPLIC	ANT, ATTORNEY, O	R AGENT	-							
Firm	Townsend and Tov												
and Individual name	Patrick R. Jewik		X	Reg. No	. 40,456								
Signature													
Date 12/12/02													
		CE	RTIFICA	TE OF MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: December 12, 2002													
Typed or printed name Gigi Hoover													
Signature	Dian	7.1	res	_	Date	December	12,2002						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1414678 v1

<u>PATENT</u>

Attorney Docket No.: 016866-008200US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Unassigned

Edward J. Gavin, et al

Art Unit:

2857

Application No.: 10/084,587

SECOND REQUEST FOR

Filed: February 25, 2002

CORRECTED FILING RECEIPT

, , ,

For:

Z& TRAI

Method For Analyzing Mass Spectra

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the corrected Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a second corrected Filing Receipt is respectfully requested.

There are errors in the "Domestic Priority" section of the Filing Receipt. The Domestic Priority data should read as follows:

-- This application is a national stage of International Application No. PCT/US01/44972, filed November 15, 2001, which claims benefit of U.S. Provisional Patent Application Nos. 60/249,835 filed November 16, 2000 and 60/254,746 filed December 11, 2000. --

The requested corrections are shown in red on the enclosed copy of the Filing Receipt. Also enclosed is a copy of the first Request for Corrected Filing Receipt as filed on August 2, 2002, the Preliminary Amendment and Application Data Sheet as filed on February 25, 2002 and the Filing Receipts from the Provisional Applications and PCT Application referenced as priority applications above. The Commissioner is hereby authorized to charge any fees or credit overpayment to the Deposit Account No. 20-1430.

Respectfully submitted,

Patrick R. Jewik

Reg. No. 40,456

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (415) 576-0200

Fax: (415) 576-0300

PRJ:gsh SF 1408053 v1



United States Patent and Trademark Office

016866-0082

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231 www.uspto.gov

TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS 016866-10 39 2 02/25/2002 2863 606 10/084,587

CONFIRMATION NO. 6008

CORRECTED FILING RECEIPT

OC000000008729987

008200US

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834**

Date Mailed: 09/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Edward J. Gavin, San Jose, CA; Leonid Braginsky, Newton, MA; William E. Rich, Redwood Shores, CA; Eric T. Fung. Cupertino. CA: George L. Wright JR., Virginia Beach, VA:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/44972 11/15/2001 WHICH CLAIMS BENEFIT OF 60/249,835 11/16/2000 (*) Data-provided by applicant is not consistent with PTO recorde.

AND CLAIMS BENEFIT OF 60/254,746 12/11/00 Foreign Applications

If Required, Foreign Filing License Granted 03/21/2002

Projected Publication Date: 12/12/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method for analyzing mass spectra

Preliminary Class

702

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Attorney Docket No.: 016866-008200US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Unassigned

Edward J. Gavin, et al

Art Unit:

2857

Application No.: 10/084,587

REQUEST FOR CORRECTED

FILING RECEIPT

Filed: February 25, 2002

For:

METHOD FOR ANALYZING MASS

SPECTRA

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231



Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that there is no "Domestic Priority" listed for the abovereferenced patent application. The Domestic Priority data as claimed by applicant section of the filing receipt should read as follows:

- This application is a national stage of International Application No. PCT/US01/44972, filed November 15, 2001, which claims priority to U.S. Provisional Patent Application Nos. 60/249,835 filed November 16, 2000 and 60/254,746 filed December 11, 2000. --

The requested correction is shown in red on the enclosed copy of the official Filing Receipt. Please charge any fees or credit overpayment to the Deposit Account No. 20-1430.

Respectfully submitted,

Patrick R. Jewik Reg. No. 40.456

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (415) 576-0200

Fax: (415) 576-0300

PRJ:lyk SF 1372110 v1



United States Patent and Trademark Office

YRJ <u>016866-008200</u> US

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
•	10/084,587	02/25/2002	2857	0.00	016866- 008200US	10	39	2

CONFIRMATION NO. 6008

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO. CA 94111-3834

COPY

Date Mailed: 03/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Edward J. Gavin, San Jose, CA; Leonid Braginsky, Newton, MA; William E. Rich, Redwood Shores, CA; Eric T. Fung, Cupertino, CA; George L. Wright JR., Virginia Beach, VA;

Of Unternational Application No. Pet/USDI 144972, filed November Foreign Applications 15, 2001, which claims Priority to US Provisional futent Application Nos. 60/249.835 filed November 16, 2000 and If Required, Foreign Filing License Granted 03/21/2002 (00/254, 746 filed December 11, 200

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method for analyzing mass spectra

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).